

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Julliard
 Serial No.: 10/006,997
 Filed: 11/30/2001

Group No.: 2881
 Examiner: Not assigned

For: Method and Apparatus for In Situ Protection of Sensitive Optical Materials

Commissioner for Patents
 Washington, D.C. 20231

PATENT

Receipt
 #3

COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 01/25/2002. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

IV. COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$740.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$414.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$336.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
6. Total completion fees	\$1,660.00

EXTENSION OF TIME

- V. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$0

REQUEST FOR CORRECTED FILING RECEIPT

- VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Karin Julliard, Sunnyvale, CA - Steve Utter, Livermore, CA - Alan B. Petersen, Palo Alto, CA

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

TOTAL FEE DUE

- VII. The total fee due is: Completion fees \$1,660.00 Extension of Time Fees \$0 Corrected Filing Receipt Fees \$25.00
TOTAL FEE DUE \$1,685.00

PAYMENT OF FEES

- VIII. Charge Account No. 23-2415 (9505-284) in the amount of \$1,685.00.
 Please charge Account No. 23-2415 (9505-284) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

Wilson Sonsini Goodrich & Rosati
 650 Page Mill Road
 Palo Alto, CA 94304
 (650) 493-9300
 Customer No. 021971

Date

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2/22/02

I hereby certify that this paper is being deposited with the US Postal Service "Express Mail Post Office to Address" under 37 CFR 1.10 on the above date, addressed to the Commissioner of Patents, Washington, D.C. 20231

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FEB 01 2002

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Spectra-Phys/9505-284
P.D. Page 1 of 2

#4

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,997	11/30/2001	2881	0.00	9505-284	6	43	7

CONFIRMATION NO. 1013

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FILING RECEIPT



OC000000007366986

Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Karin Julliard, (Residence Not Provided) SUNNYVALE, CA --
Steve Utter, (Residence Not Provided) LIVERMORE, CA --
Alan Petersen, (Residence Not Provided) PALO ALTO, CA --

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/25/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for in situ protection of sensitive optical materials

Preliminary Class

372



UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,997	11/30/2001	Karin Julliard	9505-284

CONFIRMATION NO. 1013

021971
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CA 943041050

FORMALITIES LETTER



OC000000007366987

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/006997 00000001 232415 10006997

FILED UNDER 37 CFR 1.53(b)

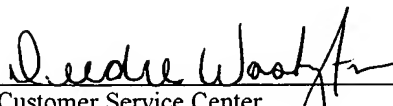
01	FE-101	740.00	CH
02	FE-105	130.00	CH
03	FE-102	414.00	CH
04	FE-102	336.00	CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$750.
 - \$414 for 23 total claims over 20.
 - \$336 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1620.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE